



PROHIBITED SUBSTANCES RULES National Championships

1. It is specifically recorded that these rules pertaining to Prohibited Substances and matters related hereto, are applicable to the South African Championships held under the auspices and rules of the Saddle Horse Breeders Society of South Africa.
2. The person who signs the entry form, has to be a member (**or show member**) of the Society, and will be the person considered to be accountable (hereinafter referred to as "the accountable person") should any horse reflected on such entry form, test positive in a manner which constitutes a transgression of these rules, irrespective of whether he/she or another person had signed the indemnity form, or not.
3. The accountable person will be the person considered to be in sole charge of a relevant horse and its stable during the show. Any risk(s) of such a horse being diagnosed and treated in any way other than by a veterinarian, rest(s) upon the accountable person.
- 4.1. Prohibited Substances are broadly defined as:
Agents, cocktails or mixtures of substances that may affect the performance of a horse;
 - Mask concurrent pathological conditions
 - Substances which are registered and usually prescribed for use in humans or other species
 - Agents used to hyposensitize or desensitize the limbs or body parts.
 - Agents which could influence performance by: relieving pain, sedating, stimulating or producing / modifying physiological or behavioural effects,
 - Substances that have performance enhancing potential will be considered to be prohibited Substances for the purposes of these rules.
- *** *Any substance (or metabolite and/or analogue thereof), regardless of how harmless or innocuous it might be, which might interfere with the detection of any prohibited substance and/or quantification of substances.*
- 4.2 Any horse which is to compete during the Show, may only be treated by a veterinarian, as detailed in #5 below.
5. **Horses Treated at the Show**
The Official "Treating" Veterinarian shall be on duty 48 hours before the first Class enters the arena.

Every time any horse is treated at the Show by a Veterinarian, the Official “Treating” Veterinarian must be present. Any horse treated without the Official “Treating” Veterinarian being present, shall be disqualified from competing in the Show.

- 5.1 Before the horse is treated, the Official “Treating” Veterinarian will inform the owner/trainer/accountable person (Authorization for Emergency Treatment form);
 - 5.1.1. what drugs may be administered if the owner/trainer/accountable person wants the horse to compete;
 - 5.1.2. the administration of which drugs at which dosages will result in the horse being disqualified from competing;
 - 5.1.3. No medication may be left with the owner/trainer/accountable person.
 - 5.1.4. Follow-up medication may be left in the custody of the Official “Treating” Veterinarian.

The owner/trainer/accountable person may then administer such under the supervision of the Official “Treating” Veterinarian.
- 5.2 The Official “Treating” Veterinarian shall inform the Collecting Ring Stewards, and the Accountable Person, in writing, in which Classes the horse may or may not compete, identifying such class by means of its number and its starting time.
- 5.3 The Official “Treating” Veterinarian will issue a Veterinary Certificate in terms of paragraphs 5.3.2 as well as a written confirmation that the horse is able to compete in a specific class/show, indicating the starting time as well.
- 5.4 This Veterinary Certificate will be held at the office of the Saddle Horse Society, and will be available to the Official, and “Treating” Veterinarians. Both will sign the Certificate indicating that they are aware of the contents and that they have received notice thereof. The Certificate will be available to the Board on request.
- 5.5. **Horses treated before the Show.**
 - 5.5.1. If any horse is treated less than eight (21) days before the first Class enters the arena, the Accountable Person shall submit the Veterinary Certificate to the Official “Treating” Veterinarian not less than 24 hours before the first Class enters the arena.
 - 5.5.2. The 21 day period will be taken as the first day excluded, and the last day included.

- 5.5.3. This Veterinary Certificate will be held at the office of the Saddle Horse Society, and will be available to the Official, and “Treating” Veterinarians. Both will sign the Certificate indicating that they are aware of the contents and that they have received notice thereof. The Certificate will be available to the Board on request.
- 5.5.4. The onus is on the Accountable Person and the Treating Veterinarian to ensure that the Veterinary Certificate records:
- All the diagnosed problems
 - The trade and/or generic name of all drugs administered
 - The dosage, route and time of administration
 - The identification and Show entry number of the horse.
 - Registered name and registration nr of the horse.
 - Follow up treatment and dosage prescribed.
- 5.5.5. On the back of the Veterinary Certificate, or attached, the “accountable person” should indicate the following: Class nr and possible championship classes, with date and time, stable nr and cell phone nr of the “accountable person”.

If the Veterinary Certificate does not comply with the criteria in 5.5.5. the horse shall be disqualified from participating in the Show.
Any treatment outside this given criteria will have the horse be disqualified from participating in the Show.

- 6.1. The Official Veterinarian may inspect any container on the Show premises in which any form of medication is kept. Any medication that is not labelled in accordance with the requirements of the Medicines and Related Substances Control Act 101 of 1965 shall be impounded by the Official Veterinarian for the duration of the Show. Should any trainer, owner and/or Accountable person be found in possession of medicine in circumstances that constitute a transgression of the rules set out in paragraphs 6.2.1 to 6.2.2.3 hereunder, the medicine shall also be impounded by the Official Veterinarian for the duration of the Show.
- 6.2. Owners, trainers and/or the Accountable Person shall purchase drugs from a veterinarian only. The animals for whom the drugs are intended can be the “Stable” instead of an individual animal.
- 6.2.1. The said owner, trainer and/or Accountable Person in whose possession the purchased drugs were found on the Show, is responsible to see to it that:

- 6.2.2. The veterinarian does not supply excessive quantities. A single bottle is not regarded as “an excessive quantity”.
- 6.2.3. The veterinarian furnishes him/her/them with a detailed invoice signed and dated by the veterinarian, which invoice must be produced on demand of the Official Veterinarian.
Each bottle is labelled in terms of Act 101 of 1965. In place of the Name of the animal, the Name of the Stable can be noted. The expiry date should also be noted on the label:
- The name of the medicine
 - The name of the client (owner)
 - The name of the horse or Stable for which the medicine is dispensed
 - Directions for use
 - Name and address of the veterinarian
 - Applicable warning of the withdrawal period.

- 6.3. It is recommended that only the following drugs be supplied to the trainer, owner and/or Accountable Person:
- Buscopan, Finadyne
 - Potentiated Sulphonamides(oral) eg Tucoprim
 - Acetylpromazine
 - Multi Vitamins, as long as they do not contain any Prohibited Substance.

It is the responsibility of the trainer, owner and/or Accountable Person to see to it that the prescribing veterinarian screen these for Prohibited Substances.

7. The Board/Drug Committee may appoint an independent “Drug testing” veterinarian, whom, for the duration of the show and with regard to the consequent results of any testing, shall be considered to be the Official Veterinarian (whose name and contact number have to be displayed in writing outside the show office), with, inter alia, the following rights and obligations:
- 7.1. Have the right to peruse the veterinary certificates which are handed in at the show office and to examine any horse with regard to which a certificate has been handed in, at any time. Such examination has to take place in the presence of the accountable person (or the trainer and/or the owner and/or the exhibitor of such horse, who shall be considered to be present on behalf of the accountable person). The veterinarian who issued the certificate, may also be present during such examination. However, should the official veterinarian wish to proceed with such examination and the certifying/treating veterinarian is not present, he may proceed in the absence of the certifying/treating veterinarian. It is the duty of the

accountable person to arrange for the certifying/treating veterinarian to be present.

- 7.2. Inspect any container that contains any medications.
- 7.3. Should the official veterinarian in his/her professional opinion with the facts at his/her disposal, find and conclude that a horse is unfit to participate in any particular class and/or for the duration of the show, and/or that the drugs which have been administered to such a horse, will materially alter or modify the horse's performance, or aggravate any concurrent pathological condition, he shall certify as such on a certificate of his own and hand such certificate to the Collecting Ring Stewards.
8. The Drug Committee may designate (a) steward(s) (hereinafter referred to as the "designated steward(s)") and/or a veterinarian, which designated steward(s) and/or veterinarian will be responsible for the whole process of sampling, testing and related matters. Such designated steward(s) must be familiar with show horses and/or race horses.
9. The accountable person and/or owners and/or trainers and/or exhibitors have the unconditional obligation to co-operate in making the relevant horse available to a designated steward and/or veterinarian.
10. The accountable person and/or the owner and/or the trainer and/or the exhibitor may be present when such a horse is identified by a designated steward and/or veterinarian. The veterinarian and/or designated steward must positively identify the horse that is tested and must record the following details in writing:
 - 10.1 the registered name and the show number of the horse; and
 - 10.2 the date and time of the taking of the sample.

Such identification paper has to be signed by the accountable person (or the owner, or the trainer or the exhibitor, in which instance such signatory shall be considered to be signing on behalf of the accountable person) and the veterinarian and/or the designated steward.

11. The method of sampling, and the body fluid to be sampled, will be in the discretion of the Official Veterinarian. It is not a requirement that two samples have to be taken from a specific horse.
12. The accountable person and/or the owner and/or the trainer and/or the exhibitor may be present when the relevant sample is being sealed.

13. The method of testing and laboratory analysis of samples are deemed to be in accordance with the generally accepted biological and scientific processes and procedures applied at the time, and the accountable person is deemed to acknowledge the competence and protocols of the designated laboratory. The accountable person is consequently considered to accept the test result as being valid and is not entitled to insist upon a second sample being tested or being made available for testing before a valid finding of guilt can be made. The testing of any samples may be directed at either the presence of any Prohibited Substances and/or the specific level of any Prohibited Substances.
14. Should the accountable person contend that the sampling or testing did not conform to the relevant procedures, the accountable person has to prove such contention(s) on a preponderance of probabilities.
15. Tests may be conducted for Atropine.
16. The Official Veterinarian shall have the authority to determine which horses shall be tested for Prohibited Substances, and what tests shall be conducted. This may be decided as follows:
 - 16.1.1. A Class may be nominated or selected randomly. Any class that is designated as such, as well as the horses that will be tested, have to be announced when the horses are in the line-up and before the placings are announced. After such announcement, no horse will be excused from the class before the placings have been announced, and/or should a horse be placed, before the round of honour has not taken place.
 - 16.1.2. When a horse, identified for testing, leaves the Show Ring, the horse shall be taken to the Exercise Ring where the horse will be sampled or tested or marked by means of a label. Only after the horse has been sampled or identified, may the handler and the supervisor, with consent of the Official Veterinarian, accompany the horse to its stable.
 - 16.1.3. If a horse that has been identified for testing is not presented to the Official Veterinarian in the Exercise Ring for testing/sampling within 10 minutes of the conclusion of the Class, it will constitute a transgression of these rules by the Accountable Person. The horse will be tested as soon as possible.

For purposes of identifying the horse, the Official Veterinarian shall take the appropriate samples for DNA testing. The costs of both tests will be for the account of the Accountable Person. For the transgression of not presenting the horse as provided for in these rules, the matter will be referred to the Disciplinary Committee of the Show, to be dealt with in their discretion.

The Maximum penalty that can be imposed is a fine of R4000.00, which fine is payable to the secretary within two (2) weeks of the imposing of the penalty.

- 16.2. Any horse that is suspected of having been medicated with a Prohibited Substance without complying with the protocols in # 5 may be individually tested.
- 16.3. A supervisor shall be appointed to accompany any nominated horse.
- 16.4. The owner/trainer /accountable person shall provide a handler to tend to the horse until the required samples have been taken.
17. In addition to the authority of the Official Veterinarian, the collecting ring steward and/or the ring master will respectively have the authority and/or discretion to identify any abnormal behaviour of a horse in the collecting ring and/or the arena and to request the Official Veterinarian to have such horse tested.
18. In addition to the authority of the Official Veterinarian, and/or the collecting ring steward, and/or the ring master, any member of the Society has the right to request the Official Veterinarian to have a particular horse tested. Such person may however remain anonymous, and his\her identity will not be disclosed by either the veterinarian or the office.

In order for such a request to be valid, the person making the request has to complete and sign the pro forma form which will be available from the Show Office, and simultaneously pay the amount of money indicated by the Show Office, being the fair and reasonable costs of the sampling and testing procedure.

The results of such tests will be announced together with the other results.

Should the test requested be positive, the money paid by the complainant shall be refunded. A receipt will be issued by the appointed person simultaneously with the payment. It is the duty of the person making the said request, to obtain the receipt as proof of payment and consequently also as proof of having made such a valid request. No such request will be valid and/or acted upon by the Official Veterinarian unless the abovementioned prerequisites have been adhered to.

19. Upon receipt of such valid request, Official Veterinarian is compelled and authorized to have such horse tested.

20. The Director of the testing Laboratory shall inform the Official Veterinarian of the test results.

The official veterinarian will then take all reasonable steps which are necessary to conclude whether the test results are in accordance with the information reflected on the veterinary certificate, including the levels of the particular prohibited substances. Should the official veterinarian, in the exercising of his professional opinion, find and conclude that a particular test result is not in accordance with the information reflected on the veterinary certificate, he shall certify as such on a certificate of his own, together with the reasons for his finding and conclusion, and hand such certificate to the secretary of the Society.

Should the official veterinarian find that a particular test result is in accordance with the information reflected on the veterinary certificate, he shall likewise certify as such on a certificate of his own, and hand such certificate to the secretary of the Society.

21. If no such official veterinarian has been appointed for a particular show, no tests will be conducted.

22. The accountable person as well as all owners, trainers and exhibitors are deemed to be acquainted with, but not limited thereto:

22.1 the names of Prohibited Substances.

22.2 the procedures detailed in # 5 regarding the protocols to be followed for horses to be treated at the Show, and before the Show

22.3. the conditions under which the Official Veterinarian (if one has been appointed for the particular show), may determine which horses may be tested for prohibited substances.

22.4 The NHRA laboratory where blood samples will (as from July 30, 2010) be tested in accordance with the rules of the FEI, (as with the Jockey Club and ERASA), will have bearing on sample results only (specifically and only in regard to the analysis and testing by the NHRA laboratory), which briefly means -

(a) That all sub therapeutic positive cases confidentially be reported to the official veterinarian in order for such data to be recorded for future reference if necessary, but that

(b) Positive sub therapeutic cases not referred to the Disciplinary Committee for disciplinary steps to be instituted, warnings to be issued or penalties to be imposed, subject to the preliminary submission of a

veterinary treatment certificate. Failing to submit Veterinary treatment certificates beforehand will result in the case being referred to the Disciplinary Committee

(c) The protocol and regulations of the Drug Rules as adopted, will furthermore be applicable and

(d) Results issued by the laboratory will be regarded as the official report

(e) The Saddle Horse Society is however not bound by a defined list of prohibited substances or withdrawal times related to certain substances, and will not diminish or change the responsibility of the responsible person. Full information with regard to prohibited substances is available at <http://www.horsesport.org> – click on “Veterinary” department.

22.5 For experimental and research purposes, permission is granted to the official veterinarian to take three samples per annum, and three samples at the SA Championships to confidentially determine the detection time of various substances generally being used, and to update such information from time to time.

23. A positive test result will be considered to be a transgression of these rules in the following instances:

23.1 When the test result reflects the presence of a Prohibited Substance, the use of which has not been approved by the Official Veterinarian in writing prior to its administration.

24. Should such positive result which is considered to be a transgression of these rules, be obtained, the secretary of the Society shall within one (1) week after receiving the results, or, if applicable, within one week after receiving the certificate from the official veterinarian in which he certified that the result is not in accordance with the information reflected on the particular veterinary certificate, notify the accountable person and the owner of the relevant horse in writing that a positive test result which is considered to be a transgression of these rules, has been obtained.

24.1 Such notification shall also:

24.1.1 enclose a copy of all reports, analysis, certificates and any other relevant documentation in possession of the secretary; and

24.1.2 advise that both the accountable person and the horse are in the interim suspended from participating in any Regional Show and/or Organized Show and/or Amateur Show and/or South African Championships

conducted by or under the auspices or rules of the Society, until after any hearing and/or subsequent disciplinary procedure and/or subsequent Court procedures are completed and, if applicable, after the terms of an imposed penalty, have been complied with; and

- 23.1.3 advise that the totality of the prize money due to the accountable person in respect of all placings of riders and/or horses, the entries of which the accountable person has signed the relevant entry form, will be withheld pending the finalization of the said proceedings and
- 23.1.4 the accountable person must pay the costs of test.
- 24.2 Such notification shall also advise that should the accountable person admit his guilt, the following penalty will be imposed:
 - 24.2.1 The relevant horse and/or the rider/driver and/or the accountable person forfeit(s) the relevant placing; and
 - 24.2.2 the prize money pertaining to the relevant placing, is forfeited, irrespective of whom the eventual beneficiary of such prize money is; and
 - 24.2.3 the rosette and/or trophy pertaining to the relevant placing, is forfeited, and has to be returned to the secretary within two (2) weeks after the imposing of the penalty; and
 - 24.2.4 in an instance where the accountable person has not previously been found guilty of the transgression of these rules and the horse has not previously been tested positive under circumstances which led to the imposing of a penalty on the relevant accountable person (even if it was somebody else than the current accountable person), the accountable person is to pay a fine of a minimum of R4,000.00, which fine is payable to the secretary within two (2) weeks of the imposing of the penalty.

Alternatively, in an instance where either the accountable person has previously been found guilty of the transgression of these rules or the horse has previously being tested positive under circumstances which led to the imposing of a penalty on the relevant accountable person (even if it was somebody else than the current accountable person), the accountable person is to pay a fine of a minimum of R8,000.00, which fine is payable to the secretary within two (2) weeks of the imposing of the penalty; and

- 24.2.5 the totality of the prize money due to the accountable person in respect of all placings of riders and/or horses, the entries of which the accountable person has signed the relevant entry form, will be withheld, until all terms of the penalty have been duly complied with; and

- 24.2.6 the interim suspension of the accountable person and the horse will be uplifted as soon as the accountable person has complied with all the terms of the penalty; and
- 24.2.7 should the accountable person fail to strictly adhere to any of the said terms of the penalty, the accountable person and the relevant horse shall remain suspended from participating in any Regional Show and/or Organized Show and/or Amateur Show and/or South African Championships conducted by or under the auspices or rules of the Society, until all terms of the penalty have been duly complied with.
- 24.3 The notification shall further advise that should the accountable person not admit his guilt in writing within three (3) weeks from the date of the said notification, the secretary shall refer the matter to the Disciplinary Committee ("Tugcommittee")as provided for in the document titled "Prosedure reels geld by klagtes, ondersoeke, verhoore en bestraffing" (hereinafter referred to as the "Tugreëls"), which "Tugreëls" have been proclaimed in terms of the Constitution of the Society, for a disciplinary hearing to be conducted by the Disciplinary Tribunal ("Tugtribunaal") in terms of the said "Tugreëls".
25. The accountable person and the owner of the horse shall be deemed to have been notified as such, on a date three days after the written notices have been sent to them by either facsimiles, e-mail or registered post, and which date shall be considered to be the "effective date".
26. The interim suspension of the accountable person and the relevant horse shall be operative from the effective date.
27. Should the accountable person admit his guilt, the accountable person shall be notified in writing of the imposing of the abovementioned penalty within one (1) week of receipt of his written admission of guilt.
28. The further disciplinary steps and procedures will be in accordance with the "Tugreëls", in so far as such "Tugreëls" are not contrary to these rules, in which instance these rules will apply.
29. The Disciplinary Tribunal has to convene and conduct a disciplinary hearing within three (3) weeks from the last day on which the accountable person could have admitted his guilt, unless the accountable person agrees to a longer time period.
30. Only the accountable person will be charged; however, a penalty imposed may be effective on both the accountable person and the horse.

31. Should the accountable person not admit his guilt, but, after a disciplinary hearing, is found guilty by the Disciplinary Tribunal, the following penalty (penalties) must be imposed:
 - 31.1 The relevant horse and the accountable person forfeits the relevant placing; and
 - 31.2 The prize money pertaining to the relevant placing is forfeited, irrespective of whom the eventual beneficiary of such prize money is; and
 - 31.3 The rosette and/or trophy pertaining to the relevant placing is forfeited and has to be returned to the secretary within two (2) weeks of the imposing of the penalty; and
 - 31.4 (1) a maximum fine of R10,000.00, payable within two (2) weeks after the imposing of the penalty; or
(2) suspension to a maximum of one (1) year of both the accountable person and the horse from participating in any Regional Show and/or Organized Show and/or Amateur Show and/or South African Championships conducted under the auspices or rules of the Society, which suspension shall be calculated from the effective date of the notification of the positive test result which resulted in the transgression of these rules to the accountable person; or
(3) both of the abovementioned; and
 - 31.5 The totality of the prize money due to the accountable person in respect of all placings of riders and/or horses, the entries of which the accountable person has signed the entry form, will be withheld, until all terms of the penalty have been duly complied with; and
 - 31.6 Should the accountable person fail to strictly comply with any of the elements of the imposed penalty, the accountable person and the relevant horse shall remain suspended from participating in any Regional Show, and/or Organized Show and/or Amateur Show and/or South African Championships conducted by or under the auspices or rules of the Society, until such date as all terms of the imposed penalty have been duly complied with.
32. The suspension of a horse in any manner provided for above, shall remain in force and operative as such, irrespective of the selling of the horse during such period of suspension.

The suspension of the accountable person in any manner provided for above, shall mean that such accountable person is not allowed to

participate as aforesaid, neither with any of his own horses nor with anybody else's horses. Horses that are his property (other than the suspended horse) may, however, still participate, but has to be shown by somebody else than the accountable person.

33. The decision of the Disciplinary Tribunal will be final and no Court of Law shall have jurisdiction to intervene with and/or set aside such decision or the effect of such decision, other than by means of review proceedings instituted in terms of the provisions of the "Tugreëls".

Should any aggrieved person take the proceedings of the Disciplinary Tribunal and/or its decision on review as aforementioned, and the suspension of the accountable person and/or the horse have in the meantime been uplifted, the abovementioned interim suspension of the accountable person and the horse, shall become effective again on the date when such review papers are filed with the competent Tribunal until the final outcome of such review proceedings.

34. Any person participating in any of the show in whatever capacity, waives the right to claim compensation of whatever nature on any cause of action whatsoever resulting from or based on conduct of the Society referred to in paragraph 1 above or any of its officials, employees or members, in the implementation or enforcement of these rules or any investigation aimed at determining whether these rules had been breached.
35. Any and all veterinarians appointed for the purpose of drug testing and their assistants, as well as appointed officials will for the duration of the show, will be regarded as employees of the Saddle Horse Society and will therefore enjoy appropriate indemnity provided by the Society's Constitution to such employees and Council.